

Code No: **21BA3T6FA**

**II MBA - I Semester Regular / Supplementary Examinations  
DECEMBER - 2023**

**FINANCIAL MARKETS AND SERVICES**

Duration: 3 Hours

Max. Marks: 70

- Note: 1. This question paper contains three Parts-A, Part-B and Part-C.  
 2. Part-A contains 8 short answer questions. Answer any **Five** Questions.  
 Each Question carries 2 Marks.  
 3. Part-B contains 5 essay questions with an internal choice from each unit.  
 Each Question carries 10 marks.  
 4. Part-C contains one Case Study for 10 Marks.  
 5. All parts of Question paper must be answered in one place

BL – Blooms Level

CO – Course Outcome

**PART - A**

		BL	CO
1. a)	Define Money Markets.	L2	CO1
1. b)	What you mean by Commercial Bank.	L2	CO2
1. c)	Discuss about various Pension Funds.	L2	CO3
1. d)	List various types of Mutual Funds.	L2	CO4
1. e)	Explain the Functions of Merchant Banker.	L2	CO5
1. f)	Describe the Role of Insurance Sector in India.	L2	CO3
1. g)	Define Venture Capital Financing.	L2	CO4
1. h)	Enumerate the Regulatory framework of SEBI.	L2	CO5

**PART – B**

			BL	CO	Max. Marks
<b><u>UNIT – I</u></b>					
2.	a)	Explain the Indian Financial System and components of Formal Financial System.	L3	CO1	5 M

	b)	Explain the role of financial services in economical development.	L3	CO1	5 M
<b>OR</b>					
3.	a)	Explain the role of financial intermediaries in financial system.	L4	CO1	5 M
	b)	Define capital market. Describe the segments of the capital market in India.	L4	CO1	5 M
<b><u>UNIT – II</u></b>					
4.	a)	Evaluate the importance of public and private banking sectors.	L5	CO2	5 M
	b)	Discuss the roles and responsibility of Reserve Bank of India.	L5	CO2	5 M
<b>OR</b>					
5.	a)	Illustrate the RBI guidelines with respect to the functioning of NBFC's in India.	L4	CO2	5 M
	b)	Discuss the role played by RBI and SEBI in regulating NBFC's in India.	L4	CO2	5 M
<b><u>UNIT-III</u></b>					
6.	a)	Explain the Objectives and role played by IFCI and SFCs.	L2	CO3	5 M
	b)	Illustrate the importance and the role of IDBI.	L3	CO3	5 M
<b>OR</b>					
7.	a)	Explain the various types of insurances along with their merits and demerits in India.	L2	CO3	5 M

	b)	Discuss the role played by Insurance Regulatory Development Authority of India.	L2	CO3	5 M
<b><u>UNIT – IV</u></b>					
8.	a)	Interpret Mutual Funds. Discuss different types of Mutual Funds.	L3	CO4	5 M
	b)	Explain briefly the business process of mutual funds.	L2	CO4	5 M
<b>OR</b>					
9.	a)	Explain the legal frame work of hire-purchase system.	L4	CO4	5 M
	b)	Differentiate between Leasing and Hire Purchase.	L4	CO4	5 M
<b><u>UNIT – V</u></b>					
10.	a)	Illustrate merchant banker. Discuss the services provided by a merchant banker.	L3	CO5	5 M
	b)	Explain in detail about Stock Exchanges and the functioning of Stock Exchanges in India.	L2	CO5	5 M
<b>OR</b>					
11.	a)	Discuss about Primary and Secondary market in India.	L2	CO5	5 M
	b)	Define SEBI. What is the role played by it in regulating primary and secondary market.	L4	CO5	5 M

## PART –C

		BL	CO	Max. Marks
12.	<p>A bank named ‘Dhan Sangraha Karta’ allows Kapoor family to deposit their money time and again in it. It gives a definite return to this family which is in the form of interest. To get this interest the money stored in the household of Kapoor family gets entry into this bank. Nearby there is a stock exchange, Mr. Raj Kapoor, who is the head of the family, goes there and buys securities. He says that in this way more return is earned by him on his saved money though the risk is also there.</p> <p><b>Questions:</b></p> <ol style="list-style-type: none"> <li>1) Identify the process in above case performed by the bank and the stock exchange.</li> <li>2) What are the roles of the bank and the stock exchange with respect to each other?</li> <li>3) Name a condition for the bank to perform its role in this process.</li> <li>4) Name a condition for the stock market to perform its role in this process</li> </ol>	L5	CO1	10 M